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Vienna, 19-22 June 2001

Programme and Budget Committee

Seventeenth session
Vienna, 2-4 May 2001
Item 7 of the provisional agenda

SCALE OF ASSESSMENTS FOR APPORTIONMENT OF THE REGULAR BUDGET EXPENSES

Scale of assessments for the fiscal period 2002-2003

Note by the Secretariat

Provides draft scales of assessments for the fiscal period 2002-2003 for consideration by Member States.

I. SCALE OF ASSESSMENTS

1. The Programme and Budget Committee is required under Article 10.4 of the Constitution to prepare the draft scale of assessments for regular budget expenditures, for submission to the Board. In accordance with Article 15.1 of the Constitution of UNIDO, "regular budget expenditures shall be borne by the Members, as apportioned in accordance with a scale of assessment established by the Conference by a two-thirds majority of the Members present and voting, upon the recommendation of the Board adopted by a two-thirds majority of the Members present and voting, on the basis of a draft prepared by the Programme and Budget Committee."

2. Article 15.2 states that "the scale of assessments shall be based to the extent possible on the scale most recently employed by the United Nations. No Member shall be assessed more than twenty-five per cent of the regular budget of the Organization."

3. On 23 December 2000, the General Assembly adopted resolution 55/5 B-F containing a new United Nations scale of assessments for the three-year period 2001-2003, which establishes:

(a) A minimum assessment rate of 0.001 per cent;

(b) A maximum assessment rate for the least developed countries of 0.01 per cent;

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(c) A maximum assessment rate of 22 per cent.

4. In paragraph C.3 of the resolution, the General Assembly stressed that the reduction of the maximum assessment rate of 22 per cent "shall apply to the apportionment of the expenses of the United Nations and should have no automatic implication for the apportionment of the expenses of the specialized agencies or the International Atomic Energy Agency."

5. The maximum rate for the current biennium is 25 per cent, in accordance with the UNIDO Constitution (para. 2 above). However, the Permanent Representative of Japan to UNIDO made a formal request in a letter dated 8 March 2001 that "the UNIDO Secretariat should prepare a draft budget on the basis of a maximum assessment rate of 22%" in view of the United Nations General Assembly resolution referred to in paragraphs 3 and 4 above.

6. Taking into account the above facts, the Secretariat has prepared two draft scales of assessments for the fiscal period 2002-2003 based on the current biennium's maximum rate of 25 per cent and the new United Nations maximum rate of 22 per cent, adjusted to the membership of UNIDO, for consideration by Member States.

7. Adjustments to the scale to include any State that may become a Member between now and the closure of the ninth session of the General Conference in accordance with Articles 3, 24 and 25 of the Constitution will be communicated to the Conference.

8. The application of the assessment rates adopted by the General Assembly for the years 2002 and 2003 to the Member States of UNIDO results in total assessments of 74.547 per cent for 2002 and 74.58400 per cent for 2003, owing to differences in membership between the United Nations and UNIDO (see columns 1 and 2 of annex). To produce a scale of assessment that covers 100 per cent of regular budget expenditures of UNIDO, it is necessary to apply a mathematical coefficient to the United Nations rates for UNIDO Member States. The coefficient is not applied to the rates of United Nations Member States paying the minimum assessment of 0.001 per cent, and it ensures that the rate of least developed countries does not exceed 0.01 per cent as well as the maximum rate of any UNIDO Member State not exceeding 25 per cent or 22 per cent, respectively. The coefficient calculations are shown in the table below.

9. Columns 3-4 (25 per cent) and 5-6 (22 per cent) of the annex to the present note show the adjusted rates for the scale of assessments for the fiscal period 2002-2003 for States that were Members of UNIDO as of 28 February 2001, by applying the coefficients for 2002 and for 2003 as explained in paragraph 8 above. Column 7 shows assessment rates for the fiscal period 2000-2001, which have been included for comparison only. The coefficients under the maximum rates of 25 per cent and 22 per cent are:

		2002	2003
(a)	25%	1.3670424	1.3623148
(b)	22%	1.4217650	1.4168481

New Member States

10. In accordance with financial regulation 5.6, new Members shall be assessed for the year in which they become Members at rates to be determined by the Conference.

II. CURRENCY OF ASSESSMENTS

11. In accordance with General Conference decision GC.8/Dec.16, beginning with the fiscal period 2002-2003, a single currency system of assessment based on the euro for contributions to the regular budget is being introduced. In the same decision, the Conference also amended the concomitant Financial Regulations of UNIDO relating to the preparation of budgets, appropriation and assessment, collection of contributions and advances, and currency of accounts.

III. ACTION REQUIRED OF THE COMMITTEE

12. The Committee may wish to propose to the Industrial Development Board the adoption of the following draft decision:

"The Industrial Development Board:

"(a) Takes note of document IDB.24/5-PBC.17/5;

"(b) Recommends to the General Conference the establishment of a scale of assessments for the fiscal period 2002-2003 as contained in columns ... and ... of the annex to document IDB.24/5-PBC.17/5, on the understanding that new Members shall be assessed for the year in which they become Members on the basis of the United Nations scale of assessments;

"(c) Urges Member States to pay their assessed contributions for the 2002-2003 biennium in accordance with financial regulation 5.5 (b)."

Calculation of coefficient
(Percentage)

	United Nations rate of assessment		UNIDO rate of assessment, 2002-2003, based on	
	2002	2003	25%	22%
Total (169 Member States)	74.547	74.58400	100.000	100.000
UNIDO Member State with the highest assessment	-19.669	-19.51575	-25.000	-22.000
UNIDO Member States paying the minimum assessment (0.001 per cent x 36 States)	-0.036	-0.03600	-0.036	-0.036
Least developed countries	-0.020	-0.02000	-0.020	-0.020
Total for calculation of coefficient	54.822	55.01225	74.944	77.944
	25%	**22%**		
Coefficient for 2002: 74.944/54.822 or 77.944/54.822			1.3670424	1.4217650
Coefficient for 2003: 74.944/55.01225 or 77.944/55.01225			1.3623148	1.4168481

Annex

UNIDO SCALE OF ASSESSMENTS FOR 2002 and 2003

(Percentage)

Member State	UNITED NATIONS assessment rates 2002 [a] (1)	UNITED NATIONS assessment rates 2003 [a] (2)	UNIDO assessment rates based on maximum assessment rate of 25 per cent 2002 [b] (3)	UNIDO assessment rates based on maximum assessment rate of 25 per cent 2003 [b] (4)	UNIDO assessment rates based on maximum assessment rate of 22 per cent 2002 [b] (5)	UNIDO assessment rates based on maximum assessment rate of 22 per cent 2003 [b] (6)	UNIDO rates for 2000-2001 (7)
Afghanistan	0.007	0.00900	0.010	0.01000	0.010	0.01000	0.004
Albania	0.003	0.00300	0.004	0.00400	0.004	0.00400	0.004
Algeria	0.071	0.07000	0.097	0.09500	0.101	0.09900	0.127
Angola	0.002	0.00200	0.003	0.00300	0.003	0.00300	0.015
Argentina	1.159	1.14900	1.584	1.56500	1.648	1.62800	1.629
Armenia	0.002	0.00200	0.003	0.00300	0.003	0.00300	0.009
Austria	0.954	0.94700	1.304	1.29000	1.356	1.34200	1.392
Azerbaijan	0.004	0.00400	0.005	0.00500	0.006	0.00600	0.016
Bahamas	0.012	0.01200	0.016	0.01600	0.017	0.01700	0.022
Bahrain	0.018	0.01800	0.025	0.02500	0.026	0.02600	0.025
Bangladesh	0.010	0.01000	0.010	0.01000	0.010	0.01000	0.015
Barbados	0.009	0.00900	0.012	0.01200	0.013	0.01300	0.012
Belarus	0.019	0.01900	0.026	0.02600	0.027	0.02700	0.084
Belgium	1.138	1.12900	1.556	1.53900	1.617	1.60000	1.631
Belize	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Benin	0.002	0.00200	0.003	0.00300	0.003	0.00300	0.003
Bhutan	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Bolivia	0.008	0.00800	0.011	0.01100	0.011	0.01100	0.010
Bosnia and Herzegovina	0.004	0.00400	0.005	0.00500	0.006	0.00600	0.007
Botswana	0.010	0.01000	0.014	0.01400	0.014	0.01400	0.015
Brazil	2.093	2.39000	2.661	3.25600	2.976	3.38600	2.173
Bulgaria	0.013	0.01300	0.018	0.01800	0.018	0.01800	0.016
Burkina Faso	0.002	0.00200	0.003	0.00300	0.003	0.00300	0.003
Burundi	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Cambodia	0.002	0.00200	0.003	0.00300	0.003	0.00300	0.001
Cameroon	0.009	0.00900	0.012	0.01200	0.013	0.01300	0.019
Cape Verde	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.003
Central African Republic	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Chad	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Chile	0.187	0.21200	0.256	0.28900	0.266	0.30000	0.201
China	1.545	1.53200	2.112	2.08700	2.196	2.17000	1.470
Colombia	0.171	0.20100	0.234	0.27400	0.243	0.28500	0.161
Comoros	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Congo	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.004
Costa Rica	0.020	0.02000	0.027	0.02700	0.028	0.02800	0.024
Côte d'Ivoire	0.009	0.00900	0.012	0.01200	0.013	0.01300	0.013
Croatia	0.039	0.03900	0.053	0.05300	0.055	0.05500	0.044
Cuba	0.030	0.03000	0.041	0.04100	0.043	0.04300	0.036
Cyprus	0.038	0.03800	0.052	0.05200	0.054	0.05400	0.050
Czech Republic	0.172	0.20300	0.235	0.27700	0.245	0.28800	0.158
Democratic People's Republic of Korea	0.009	0.00900	0.012	0.01200	0.013	0.01300	0.022
Democratic Republic of the Congo	0.004	0.00400	0.005	0.00500	0.006	0.00600	0.010
Denmark	0.755	0.74900	1.032	1.02100	1.073	1.06100	1.022
Djibouti	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Dominica	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Dominican Republic	0.023	0.02300	0.031	0.03100	0.033	0.03300	0.022
Ecuador	0.025	0.02500	0.034	0.03400	0.036	0.03500	0.030
Egypt	0.081	0.08100	0.111	0.11000	0.115	0.11500	0.096
El Salvador	0.018	0.01800	0.025	0.02500	0.026	0.02600	0.018
Equatorial Guinea	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Eritrea	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Ethiopia	0.004	0.00400	0.005	0.00500	0.006	0.00600	0.009
FYROM	0.004	0.00400	0.005	0.00500	0.006	0.00600	0.006
Finland	0.526	0.52200	0.719	0.71200	0.748	0.74000	0.802
France	6.516	6.36600	8.908	8.80900	9.264	9.16100	9.669
Gabon	0.014	0.01400	0.019	0.01900	0.020	0.02000	0.022
Gambia	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Georgia	0.005	0.00500	0.007	0.00700	0.007	0.00700	0.010

Member State	UNITED NATIONS assessment rates 2002 [a] (1)	2003 [a] (2)	UNIDO assessment rates based on maximum assessment rate of 25 per cent 2002 [b] (3)	2003 [b] (4)	22 per cent 2002 [b] (5)	2003 [b] (6)	UNIDO rates for 2000-2001 (7)
Germany	9.845	9.76900	13.459	13.30900	13.997	13.84100	14.562
Ghana	0.005	0.00500	0.007	0.00700	0.007	0.00700	0.010
Greece	0.543	0.53900	0.742	0.73400	0.772	0.76400	0.519
Grenada	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Guatemala	0.027	0.02700	0.037	0.03700	0.038	0.03800	0.027
Guinea	0.003	0.00300	0.004	0.00400	0.004	0.00400	0.004
Guinea-Bissau	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Guyana	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Haiti	0.002	0.00200	0.003	0.00300	0.003	0.00300	0.003
Honduras	0.004	0.00500	0.005	0.00700	0.006	0.00700	0.004
Hungary	0.121	0.12000	0.165	0.16300	0.172	0.17000	0.177
India	0.344	0.34100	0.470	0.46500	0.489	0.48300	0.442
Indonesia	0.201	0.20000	0.275	0.27200	0.286	0.28300	0.278
Iran (Islamic Republic of)	0.236	0.27200	0.323	0.37100	0.336	0.38500	0.238
Iraq	0.102	0.13600	0.139	0.18500	0.145	0.19300	0.047
Ireland	0.297	0.29400	0.406	0.40100	0.422	0.41700	0.331
Israel	0.418	0.41500	0.571	0.56500	0.594	0.58800	0.517
Italy	5.104	5.06475	6.977	6.89927	7.256	7.17451	8.032
Jamaica	0.004	0.00400	0.005	0.00500	0.006	0.00600	0.009
Japan	19.669	19.51575	25.000	25.00000	22.000	22.00000	25.000
Jordan	0.008	0.00800	0.011	0.01100	0.011	0.01100	0.009
Kazakhstan	0.029	0.02800	0.040	0.03800	0.041	0.04000	0.071
Kenya	0.008	0.00800	0.011	0.01100	0.011	0.01100	0.010
Kuwait	0.148	0.14700	0.202	0.20000	0.210	0.20800	0.189
Kyrgyzstan	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.009
Lao People's Democratic Republic	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Lebanon	0.012	0.01200	0.016	0.01600	0.017	0.01700	0.024
Lesotho	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.003
Liberia	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.003
Libyan Arab Jamahiriya	0.067	0.06700	0.092	0.09100	0.095	0.09500	0.183
Lithuania	0.017	0.01700	0.023	0.02300	0.024	0.02400	0.022
Luxembourg	0.080	0.08000	0.109	0.10900	0.114	0.11300	0.101
Madagascar	0.003	0.00300	0.004	0.00400	0.004	0.00400	0.004
Malawi	0.002	0.00200	0.003	0.00300	0.003	0.00300	0.003
Malaysia	0.237	0.23500	0.324	0.32000	0.337	0.33300	0.270
Maldives	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Mali	0.002	0.00200	0.003	0.00300	0.003	0.00300	0.003
Malta	0.015	0.01500	0.021	0.02000	0.021	0.02100	0.021
Mauritania	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Mauritius	0.011	0.01100	0.015	0.01500	0.016	0.01600	0.013
Mexico	1.095	1.08600	1.497	1.47900	1.557	1.53900	1.470
Mongolia	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.003
Morocco	0.045	0.04400	0.062	0.06000	0.064	0.06200	0.061
Mozambique	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Myanmar	0.010	0.01000	0.010	0.01000	0.010	0.01000	0.012
Namibia	0.007	0.00700	0.010	0.01000	0.010	0.01000	0.010
Nepal	0.004	0.00400	0.005	0.00500	0.006	0.00600	0.006
Netherlands	1.751	1.73800	2.394	2.36800	2.489	2.46200	2.411
New Zealand	0.243	0.24100	0.332	0.32800	0.345	0.34100	0.327
Nicaragua	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Niger	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.003
Nigeria	0.056	0.06800	0.077	0.09300	0.080	0.09600	0.047
Norway	0.652	0.64600	0.891	0.88000	0.927	0.91500	0.901
Oman	0.062	0.06100	0.085	0.08300	0.088	0.08600	0.075
Pakistan	0.061	0.06100	0.083	0.08300	0.087	0.08600	0.087
Panama	0.018	0.01800	0.025	0.02500	0.026	0.02600	0.019
Papua New Guinea	0.006	0.00600	0.008	0.00800	0.009	0.00900	0.010
Paraguay	0.016	0.01600	0.022	0.02200	0.023	0.02300	0.021
Peru	0.119	0.11800	0.163	0.16100	0.169	0.16700	0.146
Philippines	0.101	0.10000	0.138	0.13600	0.144	0.14200	0.120
Poland	0.319	0.37610	0.436	0.51500	0.454	0.53600	0.290
Portugal	0.466	0.46200	0.637	0.62900	0.663	0.65500	0.637

Member State	UNITED NATIONS assessment rates 2002 [a] (1)	UNITED NATIONS assessment rates 2003 [a] (2)	UNIDO assessment rates based on maximum assessment rate of 25 per cent 2002 [b] (3)	UNIDO assessment rates based on maximum assessment rate of 25 per cent 2003 [b] (4)	UNIDO assessment rates based on maximum assessment rate of 22 per cent 2002 [b] (5)	UNIDO assessment rates based on maximum assessment rate of 22 per cent 2003 [b] (6)	UNIDO rates for 2000-2001 (7)
Qatar	0.034	0.03400	0.046	0.04660	0.048	0.04800	0.049
Republic of Korea	1.866	1.85100	2.551	2.52200	2.653	2.62300	1.486
Republic of Moldova	0.002	0.00200	0.003	0.00300	0.003	0.00300	0.015
Romania	0.059	0.05800	0.081	0.07900	0.084	0.08200	0.083
Russian Federation	1.200	1.20000	1.640	1.63500	1.706	1.70000	1.591
Rwanda	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Saint Kitts and Nevis	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Saint Lucia	0.002	0.00200	0.003	0.00300	0.003	0.00300	0.001
Saint Vincent and the Grenadines	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Sao Tome and Principe	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Saudi Arabia	0.559	0.55400	0.764	0.75500	0.795	0.78500	0.830
Senegal	0.005	0.00500	0.007	0.00700	0.007	0.00700	0.009
Seychelles	0.002	0.00200	0.003	0.00300	0.003	0.00300	0.003
Sierra Leone	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Slovakia	0.043	0.04300	0.059	0.05900	0.061	0.06100	0.052
Slovenia	0.081	0.08100	0.111	0.11000	0.115	0.11500	0.090
Somalia	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
South Africa	0.411	0.40800	0.562	0.55600	0.584	0.57807	
Spain	2.539	2.51875	3.471	3.43107	3.610	3.56797	3.828
Sri Lanka	0.016	0.01600	0.022	0.02200	0.023	0.02300	0.018
Sudan	0.006	0.00600	0.008	0.00800	0.009	0.00900	0.010
Suriname	0.002	0.00200	0.003	0.00300	0.003	0.00300	0.005
Swaziland	0.002	0.00200	0.003	0.00300	0.003	0.00300	0.003
Sweden	1.035	1.02675	1.415	1.39866	1.471	1.45445	1.594
Switzerland	1.274	1.27400	1.742	1.73609	1.811	1.80536	1.795
Syrian Arab Republic	0.081	0.08000	0.111	0.10900	0.115	0.11300	0.095
Tajikistan	0.001	0.00100	0.001	0.00136	0.001	0.00100	0.006
Thailand	0.254	0.29400	0.347	0.40100	0.361	0.41700	0.251
The former Yugoslav Republic of Macedonia	0.006	0.00600	0.008	0.00800	0.009	0.00900	0.006
Togo	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Tonga	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Trinidad and Tobago	0.016	0.01600	0.022	0.02200	0.023	0.02300	0.024
Tunisia	0.031	0.03000	0.042	0.04155	0.044	0.04300	0.040
Turkey	0.444	0.44000	0.607	0.59900	0.631	0.62300	0.650
Turkmenistan	0.003	0.00300	0.004	0.00400	0.004	0.00400	0.009
Uganda	0.005	0.00500	0.007	0.00700	0.007	0.00700	0.006
Ukraine	0.053	0.05300	0.072	0.07200	0.075	0.07500	0.261
United Arab Emirates	0.204	0.20200	0.279	0.27500	0.290	0.28600	0.263
United Kingdom of Great Britain and Northern Ireland	5.379	5.34600	7.357	7.34200	7.702	7.66300	7.522
United Republic of Tanzania	0.004	0.00400	0.005	0.00500	0.006	0.00600	0.004
Uruguay	0.081	0.08000	0.111	0.10900	0.115	0.11300	0.071
Uzbekistan	0.011	0.01100	0.015	0.01500	0.016	0.01600	0.037
Vanuatu	0.001	0.00100	0.001	0.00100	0.001	0.00100	0.001
Venezuela	0.210	0.20800	0.287	0.28300	0.299	0.29500	0.236
Viet Nam	0.013	0.01600	0.018	0.02200	0.018	0.02300	0.010
Yemen	0.007	0.00600	0.010	0.00800	0.010	0.00900	0.015
Yugoslavia	0.029	0.02900	0.027	0.02700	0.028	0.02800	0.038
Zambia	0.002	0.00200	0.003	0.00300	0.003	0.00300	0.003
Zimbabwe	0.008	0.00800	0.011	0.01100	0.011	0.01100	0.013
Total	**74.547**	**74.58400**	**100.000**	**100.00000**	**100.000**	**100.00000**	**100.000**

[a] Based on General Assembly resolution 55/5 B-F

[b] The scales in columns (1 and 2) multiplied by the coefficient as shown below:

	2002	2003
- 25 per cent	1.3670424 (column 3)	1.3623148 (column 4)
- 22 per cent	1.4217650 (column 5)	1.4168481 (column 6)

Coefficient is not applied to Member States with assessment rates of 0.001 per cent, LDCs whose rate may exceed 0.01 per cent, and Member State with 25.000 or 22.000 per cent, respectively

[c] Non-Member State of the United Nations that is a Member of UNIDO